# SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

			01 500		vestment con								
1. Name and Address of Reporting Person*				er Name <b>and</b> Ticke RIS, INC. [ IT	0	ymbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
<u>Siegal Laura</u>	<u>L</u>			E			X	Director	10% C	Dwner			
(Last) (First) (Middle) 1700 CARNEGIE AVE SUITE 100		3. Date 06/11/	of Earliest Transad 2018	ction (Month/D	ay/Year)		Officer (give title below)	Other below	(specify )				
			4. If Am	nendment, Date of	Original Filed	(Month/Day/Year)		idual or Joint/Group	Filing (Check A	pplicable			
(Street)							Line)						
SANTA ANA	СА	92705						Form filed by One	e Reporting Pers	on			
·								Form filed by Mor Person	e than One Rep	orting			
(City)	(State)	(Zip)											
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3) 2. Trans				2A. Deemed	3. Transaction	4. Securities Acquired (A) Disposed Of (D) (Instr. 3		5. Amount of Securities	6. Ownership	7. Nature			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(1130.4)

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(c.g., puis, cais, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		Transaction of Code (Instr. Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	(1)	06/11/2018		A		4,180		12/14/2018 <sup>(2)</sup>	12/14/2018	Common Stock	4,180	\$ <mark>0</mark>	4,180	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of common stock upon the vesting date

2. RSU is exercisable on the vesting date

## /s/ Andrew Schmidt, attorneyin-fact for Laura Siegal

06/12/2018

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.