SEC Form 4	ŀ
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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
		Table I - Nor	n-Derivative Se	ecurities Acqu	uired, Disp	osed of, or Benefi	cially	Owned			
(City)	(State)	(Zip)									
SANTA ANA	СА	92705						Form filed by Mo Person			
(Street)				, Dato of .		(	Line)	Form filed by On	Ū		
1700 CARNEG	IE AVE, SUI	TE 100	4. If Arr	nendment, Date of (	Original Filed	(Month/Dav/Year)	6. Individual or Joint/Group Filing (Check Applicable				
(Last)	(First)	(Middle)	3. Date 09/10/	of Earliest Transac	ction (Month/D	ay/Year)		Officer (give title below)	Other below	(specify )	
1. Name and Address Mooney Ger	1 0	Person <sup>*</sup>		er Name <b>and</b> Ticker <u>XIS, INC.</u> [ IT:		ymbol		5. Relationship of Reporting Person(s) to (Check all applicable) X Director 10%			

			· · L ·					Reported		(Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)	
Common Stock	09/10/2020		М		8,299	A	\$ <mark>0</mark>	31,848	D		
Table II. Device the Associated Dispessed of an Development											

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Sec Acq (A) o Disp of (I	Expiration Date (Month/Day/Year) equired ) or sposed (D) str. 3, 4		e and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	(1)	09/10/2020		М			8,299	(2)	09/10/2020	Common Stock	8,299	(1)	0	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of common stock upon the vesting date

2. The RSU's vested on the date of the 2020 stockholder meeting 9/10/2020

## <u>/s/ Gerard Mooney</u> \*\* Signature of Reporting Person

09/11/2020 on Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.