SEC Form 4

FORM 4

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

3235-0287 OMB Number: Estimated average burden hours per response: 05

1. Name and Addrese Williams Mil		Person*	2. Issuer Name and Ticker or Trading Symbol <u>ITERIS, INC.</u> [ITI]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner							
(Last) 1700 CARNEG	(First) IE AVE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/15/2017		Officer (give title below)	Other (specify below)					
(Street) SANTA ANA CA 92705 (City) (State) (Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year)	 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 							
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)				4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	12/15/2017		М		8,146	Α	(1)	18,146	Ι	By IRA

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature 10. Conversion Expiration Date (Month/Day/Year) Date Execution Date. Transaction of Amount of Derivative derivative Ownership of Indirect Security (Instr. 3) or Exercise Price of (Month/Day/Year) if any (Month/Day/Year) Code (Instr. 8) Derivative Securities Underlying Security (Instr. 5) Securities Beneficially Form: Direct (D) Beneficial Securities Ownership Derivative Acquired Derivative Security Owned or Indirect (Instr. 4) (A) or Disposed (Instr. 3 and 4) (I) (Instr. 4) Security Following Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5) Amount Number Date Expiration of Code ν (A) (D) Exercisable Date Title Shares Restricted Commor (1) 12/15/2017 Μ 8,146 (2) 12/15/2017⁽²⁾ 8,146 \$<mark>0</mark> 0 D Stock Unit Stock

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of common stock upon the vesting date

2. Vesting date of 3/3/2018 was a clerical error so vesting schedule was amended to December 15, 2017 as approved

/s/Andrew Schmidt, attorneyin-fact for Mikel Williams

12/19/2017

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Date

OMB APPROVAL